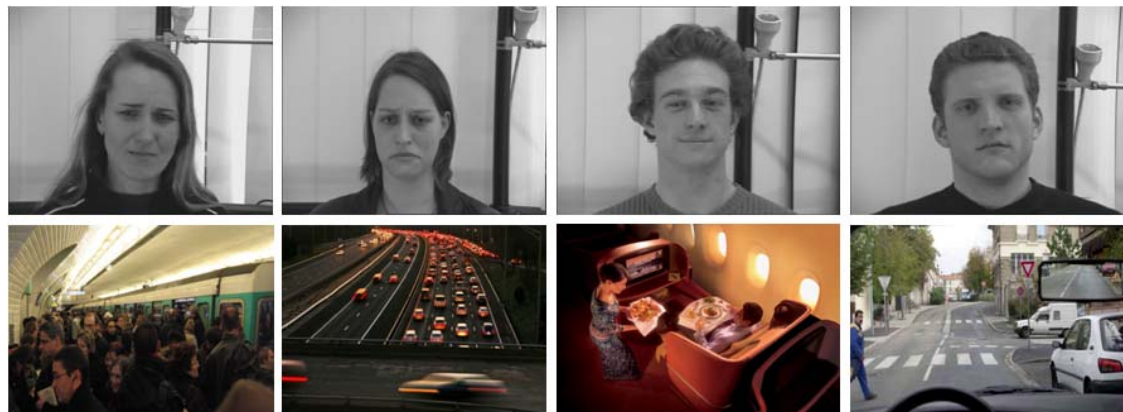


Travellers well-being measuring and dynamic facial expression recognition



Thomas Robin

Michel Bierlaire

Javier Cruz

The context

- Recent interest for emotion recognition in transportation:

Well-being measuring of users

- Improve public transportation offers
- Improve car comfort

Abou-Zeid, M., Ben-Akiva, M. and Bierlaire, M. (2008). Happiness and travel behavior modification, *Proceedings of the European Transport Conference*, Leiden, The Netherlands.

Driving assistance

- Safety
- Mobility

The context

- Emotion: **mental** and **physiological** state associated with a wide variety of feelings, thoughts and behavior.
- Emotions signs easy to measure with non-intrusive techniques for transportation users:
 - Behavior
 - Facial expression
 - Voice intonation

The context

Well being measuring



➔ Improve level of service

Driving assistance



➔ Adapt car behavior to a danger

Objectives

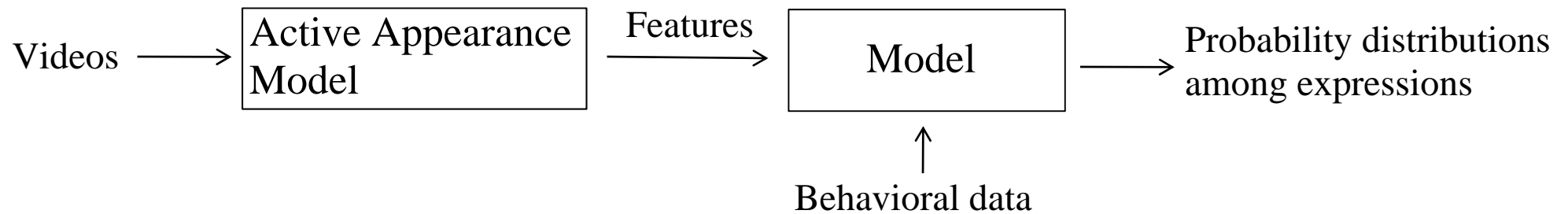
- Model the facial expression recognition made by a person looking at a facial video sequence
- Model explicitly the **causal effects**
- **No classification**
- Estimate the model on **behavioral** data (relax ground truth assumptions)

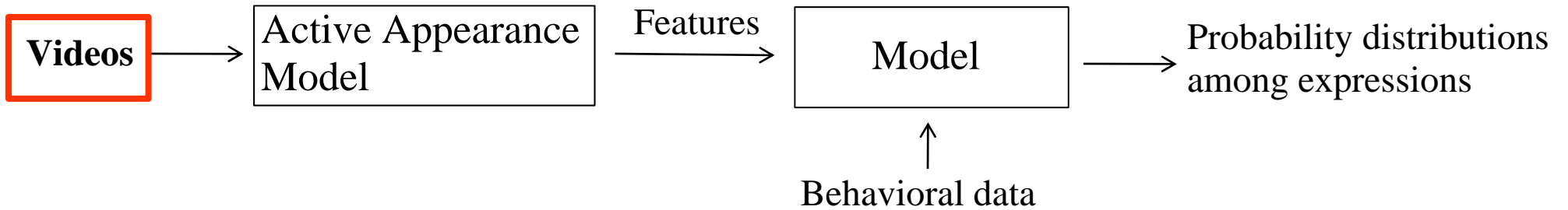
Outline

- **Introduction**
- **Data: video**
- **Features extraction**
- **Data: behavioral data**
- **Models**
- **Model predictions**
- **Conclusion and Perspectives**

Introduction

- Model overview:

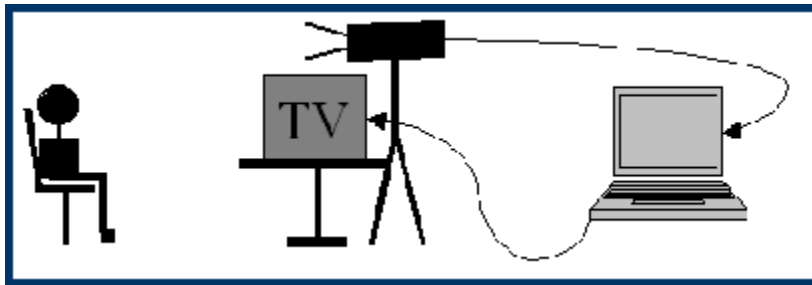




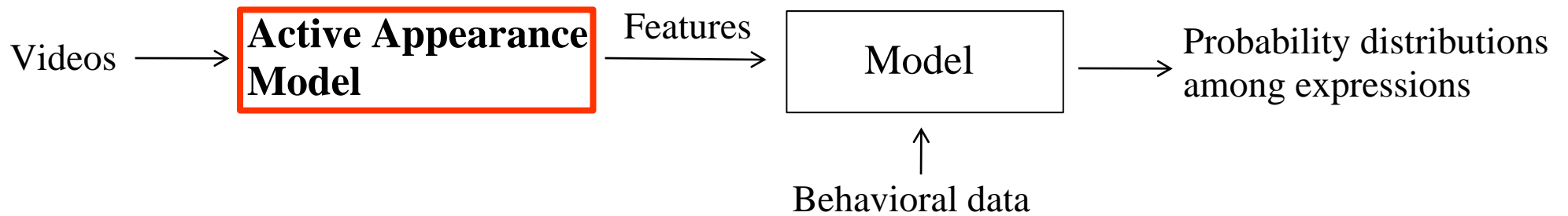
Data: video database

- The Technical University of Munich database (TUM) Facial Expression and Emotion Database (FEED)

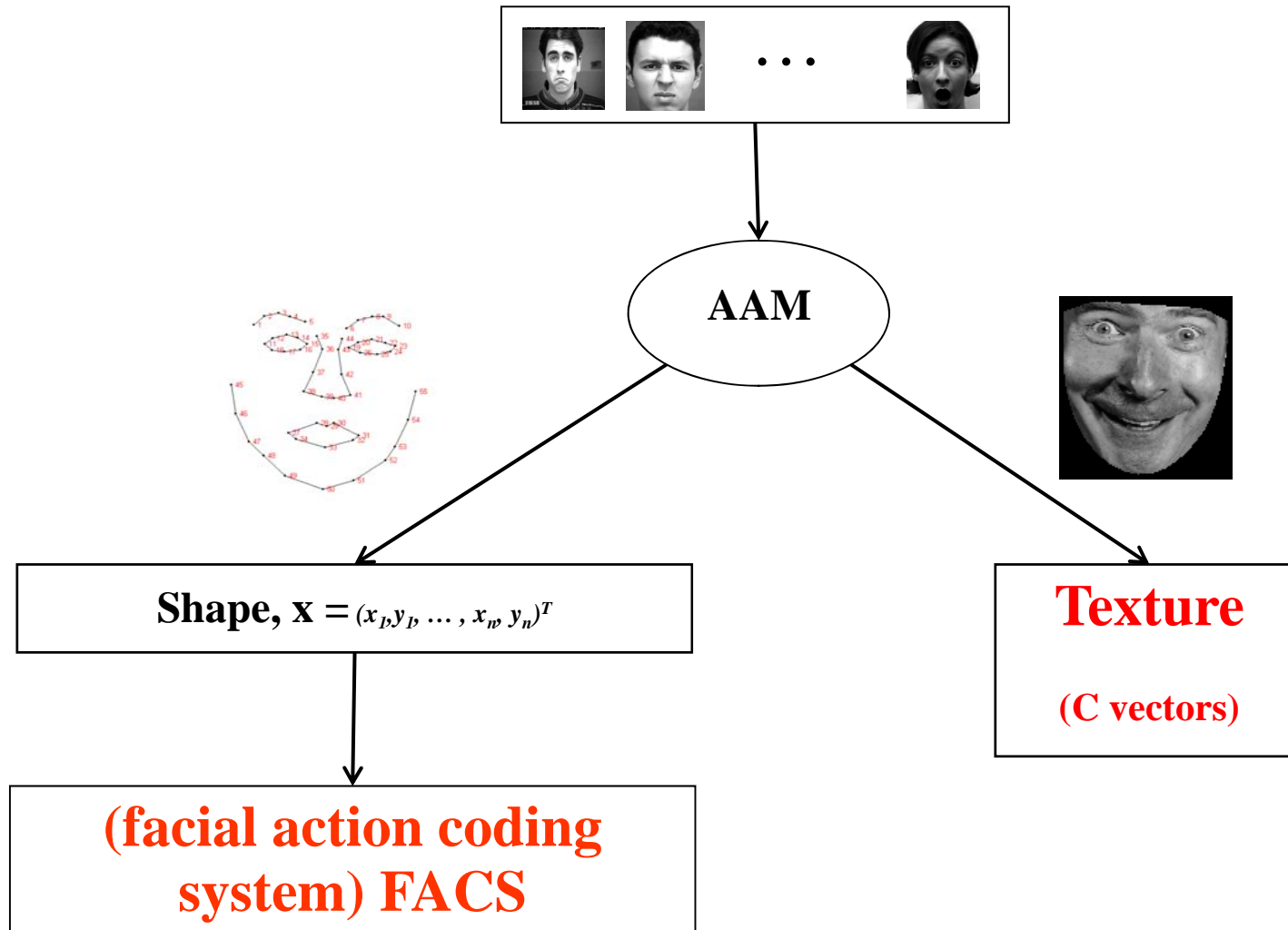
➔ Students faced to a video, natural expressions recorded

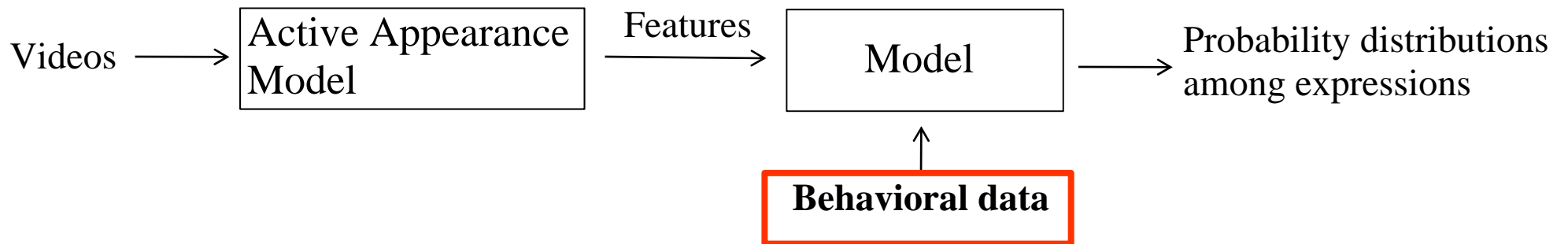


138 sequences, 18 subjects



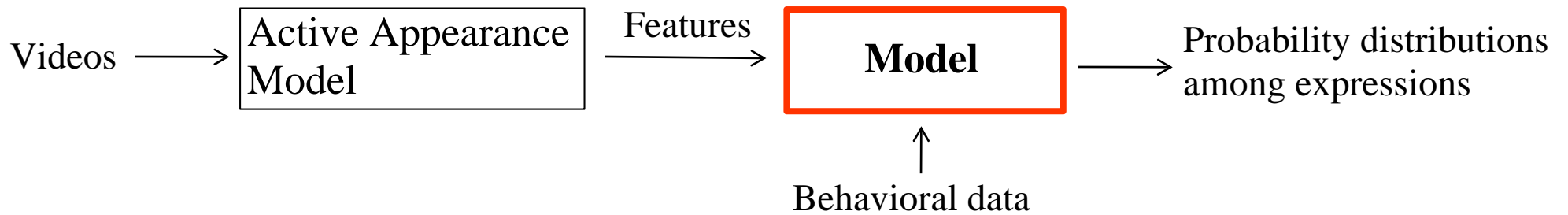
Features extraction: Active Appearance Model





Data: internet survey

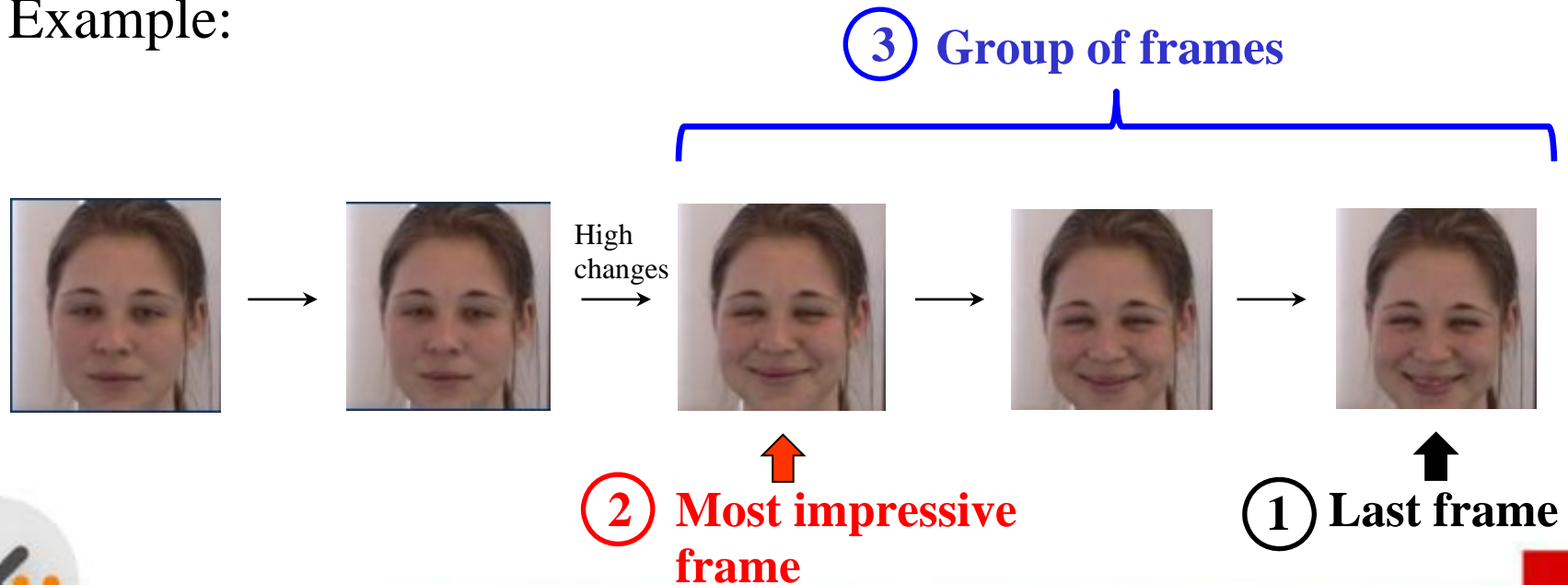
- Survey conducted at the address below (English, French, Italian, Spanish):
<http://transp-or2.epfl.ch/videosurvey/>
- Respondents have to:
 - create an account
 - ➔ Socio-economics characteristics
 - label some video sequences with expressions
 - ➔ **observations**
- 1 database of video is used:
 - Facial Expression and Emotion Database (FEED)



Models: introduction

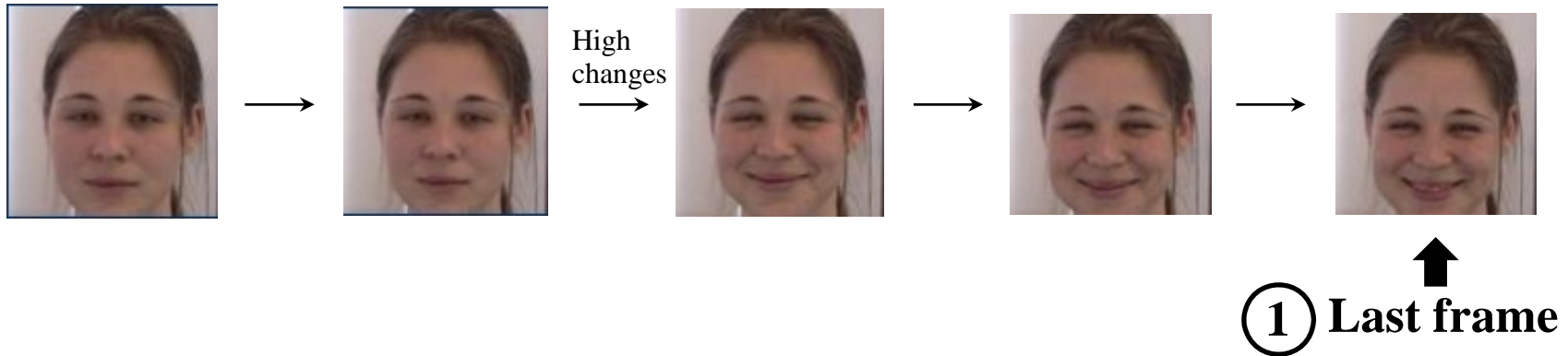
- 3 models based on different assumptions:
 - ① - **Reduced** model: only **last** frame is relevant
 - ② - **Latent** model: only **one** frame is relevant
 - ③ - **Smoothed** model: a **group of frames** is relevant

- Example:



Models: Reduced model①

- Example:



- Inspired from the static version of the work:

M.Sorci, M.Bierlaire, J-P.Thiran, J.Cruz, Th.Robin and G.Antonini (2008) Modeling human perception of static facial expressions, paper presented at *8th IEEE Int'l Conference on Automatic Face and Gesture Recognition*.

Models: Reduced model①

- Discrete choice model (DCM)
- Choice set: 9 expressions (Happiness, Surprise, Fear, Disgust, Sadness, Anger, Neutral, Other, Don't know)
- Logit model

$$P_{M_1}(i|o, \theta_{M_1}) = \frac{e^{V_{M_1}(i|T_o, o, \theta_{M_1})}}{\sum_{j=1}^9 e^{V_{M_1}(j|T_o, o, \theta_{M_1})}}$$

expression → video → Duration of video o → Parameters to be estimated

- Utility specification:
 - Alternative specific constants (ASC)
 - Facial measures for AUs (FACS)
 - Elements of C vectors (outputs of AAM)

Models: Latent model ②

- Example:



② Most impressive frame

- Combination of 2 DCMs:
 - Instantaneous expression perception sub-model
 - Frames weighing sub-model

Models: Latent model ②

$$P_{M_2}(i|o, \theta_{M_2}, \alpha) = \sum_{t=1}^{T_o} P_{M_2}(i|t, o, \theta_{M_2,1}, \alpha) P_{M_2}(t|o, \theta_{M_2,2})$$

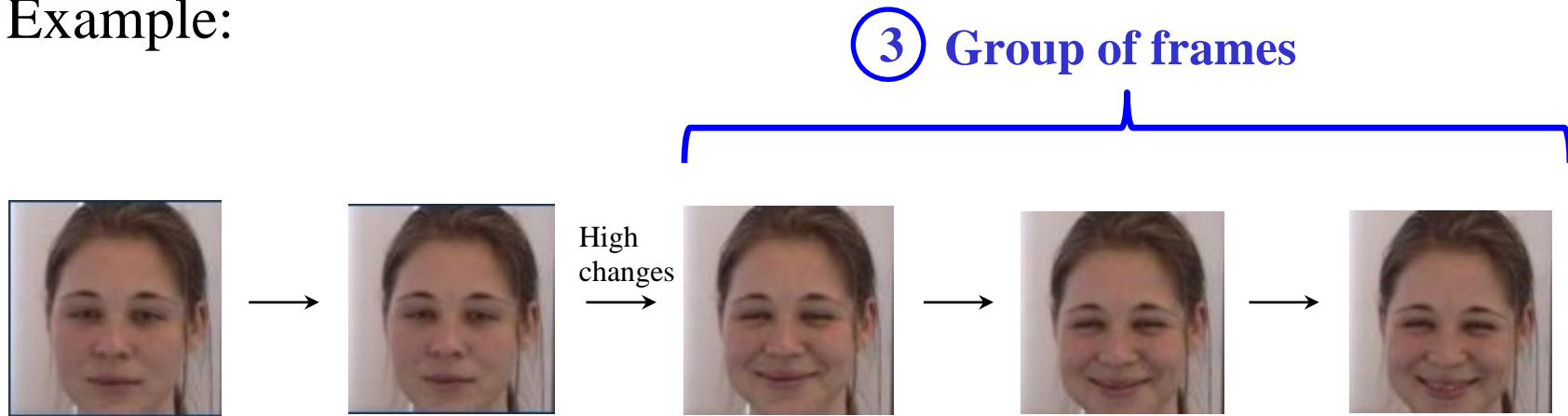
Diagram illustrating the components of the latent model equation:

- Duration of video o**: Points to T_o in the summation.
- Parameters to estimate**: Points to θ_{M_2} and α .
- expression**: Points to i in the first probability term.
- frame**: Points to t in the first probability term.
- Memory effect parameter**: Points to α in the first probability term.

- $P_{M_2}(i|t, o, \theta_{M_2,1}, \alpha)$: Instantaneous expression perception sub-model (DCM).
- $P_{M_2}(t|o, \theta_{M_2,2})$: Video frames weighing sub-model (DCM).
- $P_{M_2}(i|o, \theta_{M_2}, \alpha)$: Model.

Models: Smoothed model ③

- Example:



- Combination of 2 DCMs:

- Instantaneous expression perception sub-model
- Sub-model handling with the detection of the first frame of the relevant group of frames

Models: Smoothed model ③

$$P_{M_3}(i|o, \theta_{M_3}) = \sum_{t=1}^{T_o} P_{M_3}(t|o, \theta_{M_3,2}) \frac{1}{T_o - t + 1} \sum_{l=t}^{T_o} P_{M_3}(i|l, o, \theta_{M_3,1})$$

Diagram illustrating the smoothed model equation with annotations:

- Parameters to estimate**: Points to θ_{M_3} .
- expression**: Points to i .
- frame**: Points to t .
- Duration of video o**: Points to T_o .

- $P_{M_3}(i|l, o, \theta_{M_3,1})$: Instantaneous expression perception sub-model (DCM).
- $P_{M_3}(t|o, \theta_{M_3,2})$: Detection of the first frame of the relevant group (DCM).
- $P_{M_3}(i|o, \theta_{M_3})$: Model.

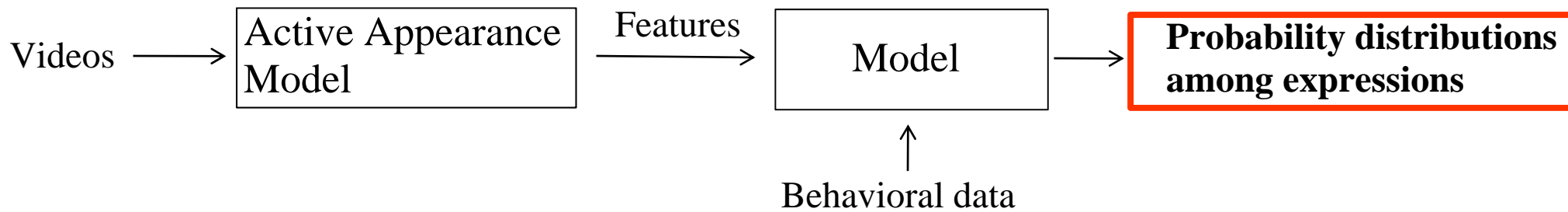
Models: Estimation results

- Simultaneous estimation of sub-models by **likelihood maximization**
- Estimation of the models using codes based on BIOGEME

	Reduced model	Latent model	Smoothed model
Nb of observations	369	369	369
Nb of parameters	32	45	44
Null log-likelihood	-810.78	-810.78	-810.78
Final log-likelihood	-475.79	-441.28	-447.67
$\bar{\rho}^2$	0.374	0.400	0.394

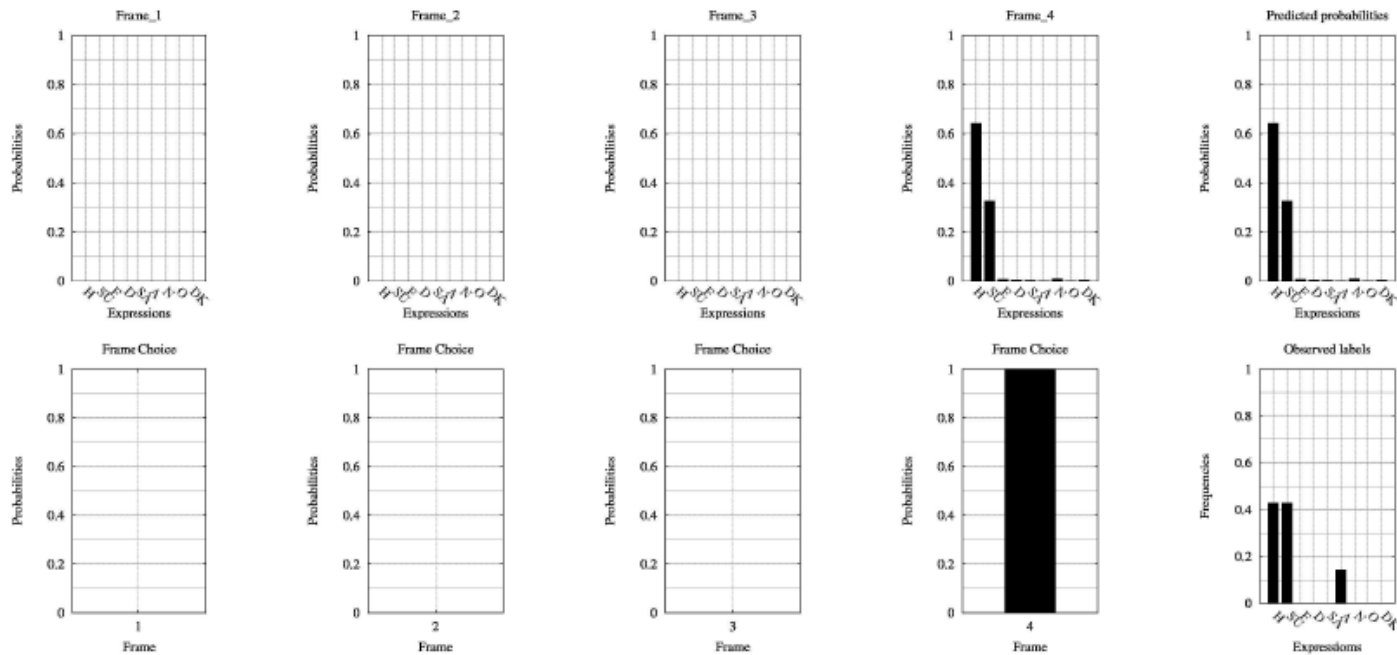
- Parameters are interpretable and have the good signs:

M.Sorci, M.Bierlaire, J-P.Thiran, J.Cruz, Th.Robin and G.Antonini (2008) Modeling human perception of static facial expressions, paper presented at *8th IEEE Int'l Conference on Automatic Face and Gesture Recognition*.



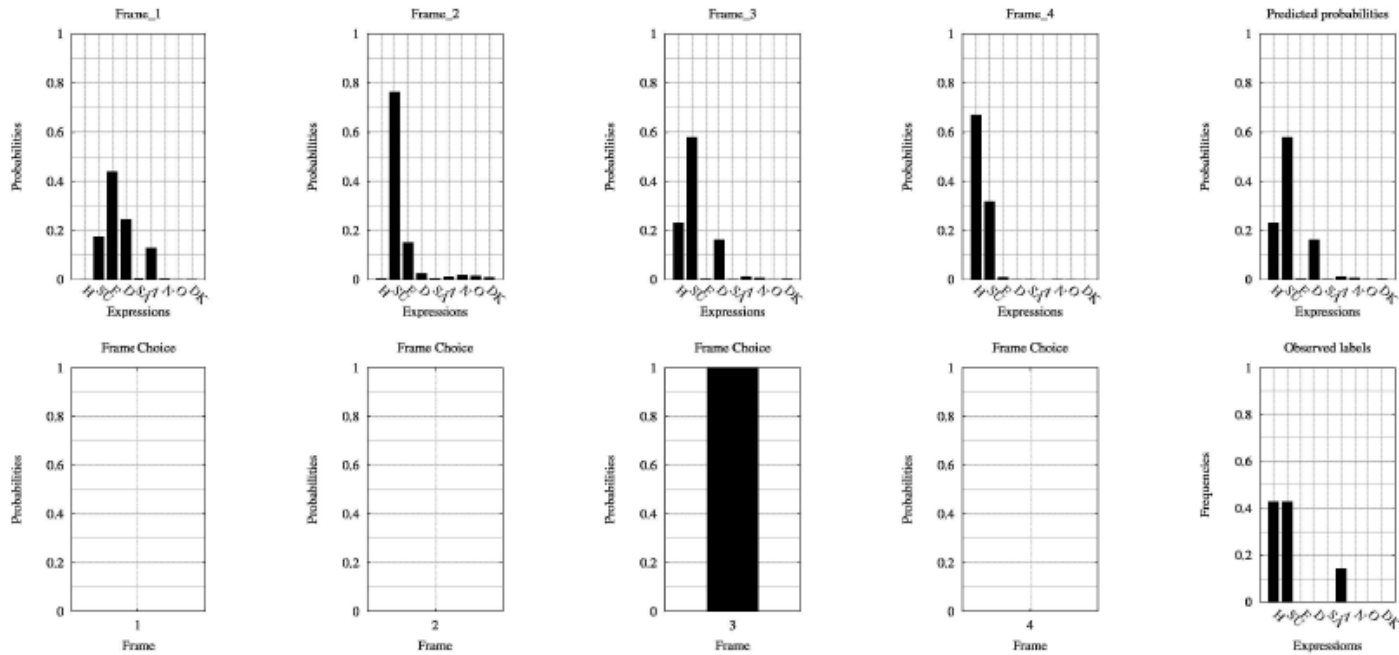
Model predictions: Reduced model①

- Expressions order: **H, SU, F, D, SA, A, N, O, DK**



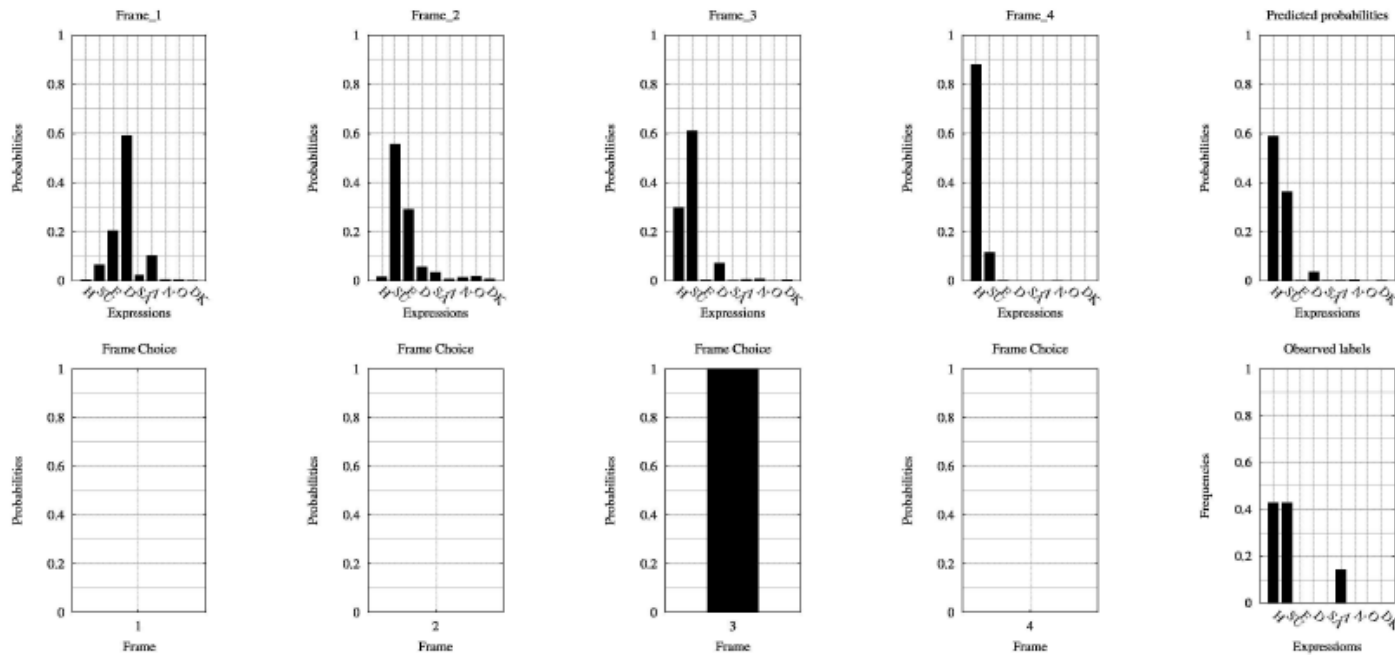
Model predictions: Latent model ②

- Expressions order: **H, SU, F, D, SA, A, N, O, DK**



Model predictions: Smoothed model ③

- Expressions order: **H, SU, F, D, SA, A, N, O, DK**



Conclusion and perspectives

• **Conclusions:**

- Behavioral approach of the facial expression recognition
- Pre-validated models
- Models ready to use for applications

• **Perspectives:**

- Validation of the models on another dataset
- Couple the model with a tracker of facial characteristics
- Applications of the models on a case study

Thanks for your attention

<http://transp-or2.epfl.ch/videosurvey/>